

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Introduction to the State Performance Plan
(SPP)/Annual Performance Report (APR)

Executive Summary:

Attachments

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General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

In order to ensure general supervision of Virginia's Part C early intervention system, the State Lead Agency employs a full-time Early Intervention Administrator (Coordinator); a full-time Early Intervention Team Leader, who is responsible for oversight of the monitoring and supervision team, requirements and timelines; 3 full-time Monitoring Consultants, one of whom is also responsible for dispute resolution; 3 full-time Technical Assistance Consultants; a full-time Data Manager; and a part-time Policy/Technical Assistance Consultant.

Implementation of federal and state Part C early intervention requirements and evidence-based practices is supported by state regulations that were signed by the Governor in December 2014, a Practice Manual, and an annual contract between the State Lead Agency and each local lead agency. Technical assistance and professional development are in place to ensure local administrators, local system managers and providers are aware of and understand the requirements and expectations.

All local systems are monitored on each State Performance Plan indicator annually. Additional monitoring or more extensive monitoring (drill-down) may be triggered through the dispute resolution process, Medicaid Quality Management Reviews, local system performance on an indicator, or the local system's determination status. Ongoing monitoring for compliance on related Part C requirements occurs through all interactions with local systems (technical assistance, self-reporting by local systems, requests for additional funds, etc.). In addition, the State Lead Agency, in collaboration with the State Medicaid Agency, implements a Quality Management Review (QMR) process to monitor implementation of the Medicaid Early Intervention Services Program. The QMR process includes review of Part C and Medicaid requirements and focuses on quality of services as well as compliance with state and federal requirements. A QMR occurs on-site or through a desk audit process with six local systems per year.

The State Lead Agency monitors local systems using a variety of data sources, including, but not limited to, the following:

- Infant & Toddler Online Tracking System (ITOTS) data;
- Family survey data;
- On-site monitoring;
- Desk audits;
- Dispute resolution findings; and
- Tracking of timely submission of local data.

Each of the three Monitoring Consultants is assigned to work with local systems in 2 regions of the state, and each Monitoring Consultant is paired with a Technical Assistance Consultant who works in those same regions. This process allows the Monitoring Consultants to become familiar with local system and regional issues impacting compliance with Part C requirements and/or results for children and families and, therefore, promptly identify and work with their Technical Assistance partner to correct noncompliance and/or improve results. Correction of non-compliance and improved results for children and families are facilitated by individualized improvement planning with the local system, and may include requiring a written improvement plan with prescribed status checks to ensure expected progress.

A system of enforcements is also in place. Enforcements are imposed when noncompliance extends beyond one year. Targeted technical assistance is required for all local systems that do not correct areas of noncompliance in a timely manner. The focus of the targeted technical assistance is on capacity building and overcoming barriers to compliance. Since noncompliance beyond one year affects the local system's annual determination status, additional enforcements may be imposed based on their determination. Enforcement options available to the State Lead Agency include, but are not limited to, the following:

- Conduct on-site activities (training, technical assistance, record reviews, meetings with staff and/or providers, etc.) with the Local System Manager as needed and appropriate;
- Conduct on-site activities that include the Local System Manager's supervisor;
- Conduct on-site activities that include the local lead agency's administration;
- Complete focused monitoring site visit(s) on area(s) of noncompliance;
- Increase frequency of improvement plan status check-ins;
- Require targeted technical assistance and/or training;
- Require development/revision of the local system's annual staff development plan to include professional development related to the area(s) of noncompliance;
- Require the Local System Manager to collect and analyze data and review it with their Technical Assistance and/or Monitoring Consultant at a frequency determined with the State Lead Agency;
- Require the local system to complete additional record reviews at a frequency determined with the State Lead Agency and with verification by the State Lead Agency;
- Link to another local system that demonstrates promising practices in the identified area(s) of noncompliance;

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

- Require a meeting with the local lead agency administration and the State Part C Administrator, Technical Assistance and Monitoring Consultants to discuss barriers to compliance, improvement plan strategies, and how the State Lead Agency can further assist the local system;
- Report noncompliance to the administration of the local lead agency explaining that it may be necessary to redirect or withhold funds if timely improvement is not shown;
- Conditionally approve the local contract;
- Require the local lead agency to direct use of Part C funds to areas that will assure correction of noncompliance;
- Withhold a percentage of the local system's funds;
- Recover funds;
- Withhold any further payments to the local lead agency;
- Terminate the contract with the local lead agency.

In addition to oversight of programmatic requirements, Virginia's General Supervision system includes fiscal monitoring and accountability. Adherence to the Part C fiscal requirements is required through the State Lead Agency contract with the local lead agencies. Compliance with Part C fiscal requirements is monitored through the following mechanisms:

- Local budgets are required annually and must be approved by the State Lead Agency;
- Expenditure reports are required from local lead agencies twice a year and must include revenues and expenditures from the local lead agency and all private providers; and
- The local contract requires local lead agencies to notify the State Lead Agency of anticipated budget shortfalls, including supporting documentation of need, specific reasons for need and efforts to secure other available funding, upon the identification of the potential financial need. Not only does this assist in oversight of federal and state Part C dollars, but it also ensures the State Lead Agency becomes aware of any reduction in other state funding (State Developmental Services dollars, for example) or local funding that occurs at the local system level.

Infrastructure within the State Lead Agency ensures assignment of responsibilities and a process for providing oversight of fiscal requirements. One person reviews contracts as they are submitted; Technical Assistance Consultants review and approve budgets and budget revisions; and there is both a programmatic (early intervention staff) and fiscal office review of expenditure reports. The Early Intervention Office and Fiscal Office within the State Lead Agency work closely together through review of the expenditure reports to identify any potential fiscal issues and follow-up quickly to address questionable data. Two additional processes address fiscal accountability:

- Medicaid QMRs conducted by the State Lead Agency include examining payor of last resort and proper documentation associated with family cost share; and
- Community Services Board local lead agencies undergo independent single audits annually. Audit reports go to the State Lead Agency's Office of Budget and Finance, which then completes monitoring desk audits. The Part C early intervention office receives a copy of the report if any identified issues are related to Part C.

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Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

The primary mechanism for technical assistance and support to local early intervention systems is the technical assistance team. The State Lead Agency employs 3 full-time Technical Assistance Consultants who work directly with local systems across Virginia. Each Technical Assistance Consultant is assigned to two regions of the state. This allows the Technical Assistance Consultant to get to know the local systems and the region and provides the local system manager with a specific person to contact for support and questions. When working with a local system, the Technical Assistance Consultant may work with the local system manager, local lead agency administrators, service providers, private contractors, local public agencies and/or the local interagency coordinating council. In addition to working individually with local systems to address local issues, each Technical Assistance Consultant holds monthly regional meetings with local system managers to facilitate 2-way information sharing, group learning and collaborative problem-solving. In order to facilitate consistent information going to local systems, consistent planning for regional meetings, and team problem-solving, the state technical assistance team meets regularly to share information about current technical assistance needs and issues and to identify areas for statewide focus (e.g., child and family outcomes, implementation of evidence-based practices, etc.).

In addition to the direct support provided by the Technical Assistance Consultants, local systems receive technical assistance through the following mechanisms:

- Biannual statewide meetings of all local system managers with State Lead Agency staff;
- The Infant & Toddler Connection of Virginia website and the Virginia Early Intervention Professional Development website;
- A monthly written Update from the State Lead Agency that includes answers to frequently asked questions, policy clarification, monitoring information and information on evidence-based practices and child and family outcomes;
- Written information combined with statewide webinars and conference calls to ensure all local system managers and/or providers have the opportunity to hear the same information when new policies or practices are introduced and explained. These webinars and calls are recorded and posted for those unable to attend; and
- Other written technical assistance and guidance.

Technical assistance and monitoring are closely linked functions in the Infant & Toddler Connection of Virginia system. Each Technical Assistance Consultant partners with one of the state Monitoring Consultants in supporting their assigned local systems. In addition, monitoring activities are one source for identifying statewide technical assistance needs as well as the technical assistance needs of individual local systems and specific regions.

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Professional Development System:

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The State Lead Agency contracts with the Partnership for People with Disabilities at Virginia Commonwealth University for the development and implementation of professional development for the Part C early intervention system. The following mechanisms are in place to ensure service providers have the skills to effectively provide services that improve results for infants and toddlers with disabilities and their families:

- An early intervention certification process that ensures providers are qualified within their discipline and have the basic knowledge and competencies necessary to serve infants and toddlers with disabilities and their families prior to employment in the Virginia early intervention system. In order to receive early intervention certification, providers must complete and pass competency tests for a series of state-required online modules that address child development, family-centered and evidence-based practices, Virginia's early intervention system, and provider requirements. In order to maintain their early intervention certification, providers must complete at least 30 hours of professional development applicable to early intervention every 3 years. Service coordinators also must complete the state-provided service coordination training within 12 months of initial early intervention certification.
- Varied professional development resources that include written documents, videos, webinars, online modules, in-person training, landing pads, laminated quick-reference cards, and "tools of the trade" to support local system managers and providers in delivering evidence-based practices. This variety of mechanisms for delivering professional development is designed to appeal to varied adult learning styles and maximize access to resources.
- A state website dedicated to early intervention professional development with varied and abundant state and national resources on evidence-based practices.
- An Integrated Training Collaborative that coordinates Virginia's Comprehensive System of Personnel Development for early intervention. Its members represent families, local system managers, providers, university faculty, other state initiatives that support young children and families, and staff from the State Lead Agency.
- A monthly email newsletter to all local system managers and service providers, including service coordinators, that spotlights resources available on a specific topic (e.g., coaching, assessment, etc.) and how these can be used at the local level.
- A blog, EI Strategies for Success, maintained by the professional development team. The blog addresses day-to-day issues associated with early intervention services. This can be helpful to individual providers and also can be used at the local level for professional development and team discussion.
- Relationships with 2-year and 4-year university faculty in early intervention-related fields. There is a webpage on the Virginia early intervention professional development website dedicated to faculty and future early interventionsists.
- Interagency collaboration with other agencies and initiatives focused on professional development for providers serving young children and families to ensure a broad, community-based focus for training, expanded partnerships and awareness of other community programs and resources among participants, and shared planning and funding.
- Regular communication between the professional development, technical assistance and monitoring teams to ensure planned professional development addresses priority issues identified through monitoring and technical assistance.

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Stakeholder Involvement: apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

The State Lead Agency has in place and uses multiple mechanisms for soliciting broad stakeholder input on targets in the SPP and development and implementation of Indicator 11 (SSIP):

- State Interagency Coordinating Council meetings;
- Biannual statewide meetings and monthly regional meetings of local system managers;
- The Family Involvement Project through the Arc of Virginia, which uses a newsletter, blog, Facebook page and webinars to share information with and solicit feedback from families who have or had children in Virginia's early intervention system;
- A monthly Update that is disseminated to a wide range of stakeholders, including service providers, and includes information about the SPP and SSIP and how to submit ideas and feedback;
- Meetings with local lead agency executives and supervisors;
- The Infant & Toddler Connection of Virginia website, where drafts and supporting documentation are posted and available for stakeholder review and input; and
- Webinars and teleconferences.

Through these mechanisms, a wide variety of stakeholders (e.g., families, other state agencies, individuals working in personnel preparation, service providers, local system managers, local lead agency administrators, etc.) have the opportunity for participation and input.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

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Reporting to the Public:

How and where the State reported to the public on the FFY 2015 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2015 APR, as required by 34 CFR §303.702(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2015 APR in 2017, is available.

Virginia publicly reported on the performance of each local system by posting the required data on the "Supervision and Monitoring" section of the Infant & Toddler Connection of Virginia website and by disseminating that information to and through local systems and participating State agencies. Public reporting of state and local results also included dissemination through the Arc of Virginia - Family Involvement Project list serve, website and Facebook page and sharing results with various advocacy and stakeholder groups.

Virginia's State Performance Plan is available in the "Supervision and Monitoring" section of the Infant & Toddler Connection of Virginia website (www.infantva.org).

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Actions required in FFY 2015 response

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 1: Timely provision of services
Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		72.00%	81.00%	89.00%	94.00%	94.00%	94.00%	95.00%	98.00%	98.02%	96.35%

FFY	2015
Target	100%
Data	98.60%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 1: Timely provision of services

FFY 2016 Data

Monitoring Priority: Early Intervention Services In Natural Environments

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(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
585	796	98.60%	100%	97.24%	Did Not Meet Target	Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Reasons for Slippage

Although Virginia's compliance with this indicator remains high, there was slight slippage primarily due to provider unavailability in one local system. This system accounted for 36% of the statewide noncompliance. Other local systems had only isolated instances of noncompliance.

Provider agencies in the one local system affected by provider shortages have either lost staff or, in one case, reduced staff that were providing early intervention services. A technical assistance consultant and monitoring consultant from the State Lead Agency worked extensively with the local system manager to explore alternative options for temporary or permanent service providers while the provider agencies advertised to replace lost staff.

Number of documented delays attributable to exceptional family circumstances

This number will be added to the "Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner" field above to calculate the numerator for this indicator.

189

Include your State's criteria for "timely" receipt of early intervention services (i.e., the time period from parent consent to when IFSP services are actually initiated). In order to be considered timely, the first date of service must be within 30 days of the date the parent signs the IFSP (providing consent for the services).

What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Data for FFY 2016 is based on monitoring data from all 40 local Part C early intervention systems in Virginia.

The records of children who had an initial, periodic or annual IFSP developed on or after October 1, 2016 but no later than December 31, 2016 were reviewed to determine compliance with the requirement for timely start of services. The State Lead Agency randomly selected the children whose records were to be reviewed by the local system. The number of records to be reviewed was based on the local system's annualized child count for the period 12/2/15 - 12/1/16:

- Annualized count 0 - 200, reviewed 14 records
- Annualized count 201 - 800, reviewed 22 records
- Annualized count over 800, reviewed 30 records

The total number of infants and toddlers in the record review pool with an initial IFSP or an annual or periodic IFSP with new services added was 796.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 1: Timely provision of services

Required Actions from FFY 2015

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 1: Timely provision of services

Correction of Previous Findings of Noncompliance

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
7	7	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

In order to verify correction of noncompliance on this indicator, the State Lead Agency selected a random sample of either 3 or 5 records (depending on the size of the local system) of children with recent IFSPs and IFSP reviews with new services added, and the local system submitted the documentation from those records showing start of services and the reasons for any delay in meeting the 30-day timeline for timely start of services. State staff members reviewed the documentation in order to verify that the local system is now correctly implementing the requirement for timely start of services. The State Lead Agency has verified that, based on updated data, all EIS programs with noncompliance identified in FFY 2015 and reported by Virginia under this indicator in the FFY 2015 APR are correctly implementing the specific regulatory requirements, consistent with OSEP Memorandum 09-02, dated October 17, 2008.

Describe how the State verified that each individual case of noncompliance was corrected

For each local system with a finding of noncompliance on Indicator 1, state Part C staff used record review data documenting the actual start date for each service to verify that for each instance of noncompliance involved in the FFY 2015 finding, the child did begin receiving the services listed on his/her IFSP, though late. The State Lead Agency has verified that each EIS program with noncompliance identified in FFY 2015 and reported by Virginia under this indicator in the FFY 2015 APR has initiated services for each child, although late, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008.

Correction of Findings of Noncompliance Identified Prior to FFY 2015

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2015 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments
Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			98.40%	98.43%	98.00%	98.00%	98.00%	98.00%	98.00%	98.00%	98.00%
Data		99.00%	99.00%	99.00%	97.00%	99.50%	99.90%	99.90%	99.20%	99.76%	99.80%

FFY	2015
Target ≥	98.00%
Data	98.71%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	98.00%	98.00%	98.00%

Key:  Blue – Data Update

Targets: Description of Stakeholder Input

The Virginia Interagency Coordinating Council (VICC) served as the primary stakeholder group providing advice and assistance to the State Lead Agency in the development of the State Performance Plan/Annual Performance Report (SPP/APR). During a VICC meeting on December 13, 2017, VICC members reviewed FFY 2016 data on each indicator and approved maintaining the targets for FFY 2017 - FFY 2018 for Indicator 2. In addition, a draft of the SPP/APR was widely available in December 2017 for stakeholders to review and submit written input.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments
FFY 2016 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	9,365	8726
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Total number of infants and toddlers with IFSPs	9,732	8733

Explanation of Alternate Data

The total count of children reported under Section 618 includes children under age 3 served under Part B with an IEP. The alternate data reflects the count of those children served under Part C with an IFSP.

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
8,726	8,733	98.71%	98.00%	99.92%	Met Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments
Required Actions from FFY 2015

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 3: Early Childhood Outcomes
Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? No

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A1	2015	Target ≥						75.90%	72.60%	73.10%	73.60%	69.50%	69.50%
		Data					75.90%	72.60%	72.10%	70.70%	73.30%	69.48%	65.14%
A2	2015	Target ≥						70.40%	68.70%	69.20%	69.70%	66.40%	66.40%
		Data					70.40%	68.70%	65.60%	65.20%	67.40%	66.43%	64.47%
B1	2015	Target ≥						81.40%	77.50%	78.00%	78.50%	74.70%	74.70%
		Data					81.40%	77.50%	77.30%	76.60%	79.00%	74.73%	71.29%
B2	2015	Target ≥						61.50%	59.90%	60.40%	60.90%	55.30%	55.30%
		Data					61.50%	59.90%	56.80%	56.00%	57.30%	55.27%	53.00%
C1	2015	Target ≥						83.10%	80.40%	80.90%	81.40%	78.70%	78.70%
		Data					83.10%	80.40%	80.10%	80.00%	80.80%	78.74%	73.37%
C2	2015	Target ≥						60.80%	58.90%	59.40%	59.90%	56.40%	56.40%
		Data					60.80%	58.90%	56.00%	56.00%	56.20%	56.43%	55.46%

	FFY	2015
A1	Target ≥	69.50%
	Data	64.07%
A2	Target ≥	66.40%
	Data	63.28%
B1	Target ≥	74.70%
	Data	68.29%
B2	Target ≥	55.30%
	Data	51.53%
C1	Target ≥	78.70%
	Data	70.69%
C2	Target ≥	56.40%
	Data	55.23%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target A1 ≥	64.10%	64.10%	66.00%
Target A2 ≥	63.30%	63.30%	65.00%
Target B1 ≥	68.30%	68.30%	70.00%
Target B2 ≥	51.50%	51.50%	54.00%
Target C1 ≥	70.70%	70.70%	73.00%
Target C2 ≥	55.20%	55.20%	57.00%

Key: Blue – Data Update

Targets: Description of Stakeholder Input

The Virginia Interagency Coordinating Council (VICC) served as the primary stakeholder group providing advice and

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

assistance to the State Lead Agency in the development of the State Performance Plan/Annual Performance Report (SPP/APR). During a VICC meeting on December 13, 2017, VICC members reviewed FFY 2016 data on each indicator. The VICC approved maintaining the targets for FFY 2017 - FFY 2018 for Indicator 3. In addition, a draft of the SPP/APR was widely available in December 2017 for stakeholders to review and submit written input.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 3: Early Childhood Outcomes
FFY 2016 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	6016.00
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Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	11.00	0.18%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	1375.00	22.86%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	977.00	16.24%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	1720.00	28.60%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	1932.00	32.12%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	2697.00	4083.00	64.07%	64.10%	66.05%	Met Target	No Slippage
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	3652.00	6015.00	63.28%	63.30%	60.71%	Did Not Meet Target	Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Reasons for A2 Slippage

Virginia has not identified any specific factor(s) that accounts for the slippage on this indicator beyond it reflecting the kind of fluctuation that may be expected during a period of focus on data quality and still-early implementation of program improvement strategies. Improvement efforts targeted at program changes and use of evidence-based practices will take at least 3 years to impact child outcome results, since the improvement must be implemented, a child must enter the system after the improvement is fully implemented and a child must then leave the system in order for his/her entry and exit outcome ratings to reflect the improved practices. On the other hand, data quality improvements impact results immediately since they affect exit ratings for children already in the system.

In FFY 2016, Virginia saw continued improvement in the completeness of child outcome data. The percent of children who had been in the early intervention system for at least 6 months and had both entry and exit data on the child outcomes increased from 91.4% in FFY 2015 to 96% in FFY 2016. There continues to be a much broader spectrum of all children served in early intervention represented in each year's child outcome data.

In addition to general efforts to improve data completeness and data quality on the child outcome ratings, there has been significant work in the past year around functional assessment and careful consideration of all components of each child outcome when determining the entry and exit ratings. The State Lead Agency and the Early Childhood Mental Health Virginia Initiative are collaborating to expand early intervention provider competence and confidence in assessing social-emotional development and supporting expanded use of the ASQ-SE screening tool as part of early intervention eligibility determination and assessment planning. As a result, there is increased awareness of infant mental health issues during assessment and more accurate and comprehensive assessment of children's social-emotional development.

Thirteen (13) local systems formally piloted, and some others informally tried, use of the Measure of Engagement, Independence and Social Relationships (MEISR) assessment tool to support more functional and comprehensive assessment and more consistent consideration of what functional skills fall into each of the three child outcome areas. Although the post-pilot evaluation of the MEISR project is not yet complete, it is possible that the more complete and accurate assessment and consideration of social-emotional development is resulting in identification of more delayed or atypical development in this area and, therefore, fewer children exiting with age-expected functioning.

While the current statewide data system, anecdotal reporting and local data analysis do not indicate any specific factor impacting performance on this indicator and no specific group that accounts for the slippage, Virginia is working to develop a more robust statewide data system that will eventually allow for more complex analysis of factors that potentially impact results for children and families.

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	15.00	0.25%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	1534.00	25.50%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	1482.00	24.63%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	2149.00	35.72%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	836.00	13.90%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
B1. Of those children who entered or exited the program below age	3631.00	5180.00	68.29%	68.30%	70.10%	Met Target	No Slippage

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).							
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	2985.00	6016.00	51.53%	51.50%	49.62%	Did Not Meet Target	Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Reasons for B2 Slippage

Virginia has not identified any specific factor(s) that accounts for the slippage on this indicator beyond it reflecting the kind of fluctuation that may be expected during a period of focus on data quality and still-early implementation of program improvement strategies. Improvement efforts targeted at program changes and use of evidence-based practices will take at least 3 years to impact child outcome results, since the improvement must be implemented, a child must enter the system after the improvement is fully implemented and a child must then leave the system in order for his/her entry and exit outcome ratings to reflect the improved practices. On the other hand, data quality improvements impact results immediately since they affect exit ratings for children already in the system.

In FFY 2016, Virginia saw continued improvement in the completeness of child outcome data. The percent of children who had been in the early intervention system for at least 6 months and had both entry and exit data on the child outcomes increased from 91.4% in FFY 2015 to 96% in FFY 2016. There continues to be a much broader spectrum of all children served in early intervention represented in each year's child outcome data.

In addition to general efforts to improve data completeness and data quality on the child outcome ratings, there has been significant work in the past year around functional assessment and careful consideration of all components of each child outcome when determining the entry and exit ratings. Thirteen (13) local systems formally piloted, and some others informally tried, use of the Measure of Engagement, Independence and Social Relationships (MEISR) assessment tool to support more functional and comprehensive assessment and more consistent consideration of what functional skills fall into each of the three child outcome areas. Although the post-pilot evaluation of the MEISR project is not yet complete, it is possible that the more complete and accurate assessment and consideration of all aspects of development that impact a child's acquisition and use of knowledge and skills (including early language/communication) is resulting in identification of more delayed or atypical development in this area and, therefore, fewer children exiting with age-expected functioning.

While the current statewide data system, anecdotal reporting and local data analysis do not indicate any specific factor impacting performance on this indicator and no specific group that accounts for the slippage, Virginia is working to develop a more robust statewide data system that will eventually allow for more complex analysis of factors that potentially impact results for children and families.

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	9.00	0.15%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	1512.00	25.16%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	1253.00	20.85%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	2361.00	39.28%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	875.00	14.56%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	3614.00	5135.00	70.69%	70.70%	70.38%	Did Not Meet Target	No Slippage
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	3236.00	6010.00	55.23%	55.20%	53.84%	Did Not Meet Target	Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Reasons for C2 Slippage

Although Virginia's results declined from the previous year for Indicator C2, that decrease was not meaningfully different (based on the meaningful differences calculator developed by DaSY) from FFY 2015 and the change may be attributable to random fluctuation alone.

Virginia's efforts to address data quality, as described above for Indicators A2 and B2, also apply to Indicator C2.

The number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program

The number of infants and toddlers who exited the Part C program during the reporting period, as reported in the State's part C exiting 618 data	
The number of those infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program.	2348

Please note that this data about the number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program is optional in this FFY16 submission. It will be required in the FFY17 submission.

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary (COS) process? Yes

List the instruments and procedures used to gather data for this indicator.

Although the COSF (form) itself is not used, Virginia uses the COS process to set the criteria for "same-aged peers." Virginia does not require the use of a specific assessment tool(s).

Specific procedures and practices related to the child outcome summary process are detailed in the Infant & Toddler Connection of Virginia Practice Manual and summarized here:

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

AT ENTRY AND EACH ANNUAL IFSP: The assessment narrative section of Virginia's IFSP is organized by the three child outcome areas. The service coordinator facilitates the team summary of assessment results in terms of the three child outcomes (positive social relationships, acquiring and using knowledge and skills, and use of appropriate behaviors to meet needs) and determination and documentation of entry ratings for the three child outcomes for all eligible children.

- Assessment information is derived from multiple sources - results from developmental instruments and observation; the family, including information about the child's performance in relation to the three child outcomes across situations and settings and with different people; and any other source (e.g., child care provider, medical records, etc.).
- Considering the information above and functional skills of same-aged peers, the team determines the appropriate rating statement for each of the three child outcomes. The Decision Tree and other documents available at <http://www.infantva.org/ovw-DeterminationChildProgress.htm> can be used to guide the process.
- The assessment process and documentation of assessment results are the same for all children; however, child outcome rating numbers (1-7) that correspond to the child outcome rating statements are only required to be recorded in ITOTS, the statewide data system, for children who are new to early intervention and who are 30 months or younger at the time of the initial IFSP. This includes children who have received early intervention from other states, but who are new to early intervention in Virginia.
- The entry ratings recorded in ITOTS follow the child. A child who moves within Virginia from one early intervention system to another will already have entry assessment data, and the new local system does not need to do a new entry-level assessment. If a child is discharged from the Infant & Toddler Connection system and returns within 6 months of leaving the system, then the initial child outcome ratings continue to be used as the entry ratings. If the child is out of the system for more than six months but returns to the system when he/she is still 30 months old or younger then new entry child outcome ratings are completed.

AT EXIT: The service coordinators ensures exit ratings on all three child outcomes (positive social relationships, acquiring and using knowledge and skills, and use of appropriate behaviors to meet needs) are done prior to exit for all children who had an entry rating AND who have been in the system for 6 months or longer since their initial IFSP (i.e., there have been 6 months between the initial IFSP and the exit assessment). The rating must be done no more than 6 months prior to exit from early intervention. To complete the exit ratings:

- Using information from parent report, an assessment instrument, observation and other sources, determine the child's status (rating) for each of the three child outcomes. A formal assessment is not required. Instead, the provider(s) determines the child's functional status on the three child outcomes through ongoing assessment (which can occur over multiple sessions). The provider must document the child's abilities by filling in an assessment instrument (such as the HELP, ELAP, etc.). The reason for documenting what has been observed through ongoing assessment on an assessment tool is not to generate age levels but to serve as an anchor for the assessment and to provide a standard measure to be used in combination with other assessment sources for determining the child's functional status on the three child outcomes in relation to same-age peers. It is not necessary to use the same instrument that was used for the entry assessment. **-OR-** Obtain entry ratings from the local school division to use as the exit ratings for the Infant & Toddler Connection system. If Part B entry assessment data is being used for the early intervention exit assessment data, then that Part B assessment information must be available prior to the child's discharge from early intervention
- The IFSP team considers information from the sources listed above to determine the child's status in relation to same-age peers for all three child outcomes. Unless the exit ratings are being determined and documented as part of an annual IFSP, document the child's functional status on the three child outcomes (including the child outcome rating statement) in a contact note or on an IFSP Review page. Also document the sources of information used in the assessment process. When documenting whether the child has made progress for each child outcome (in order to respond to the yes/no progress question in ITOTS), remember that the answer to that question must always be based on the child's progress since the initial assessment, even if there have been one or more interim assessments. Information to support the yes/no answer to whether the child has made progress may be documented on an IFSP Review page, an annual IFSP or in a contact note(s)
- Since the ratings reflect the child's status at the time of the assessment, it is important to time the exit assessment/rating as close to exit as possible in order to capture results for the full time the child was receiving early intervention services. This may mean using ongoing assessment information to update the ratings just before exit, even if there was an annual IFSP developed within the last 6 months.

The date of the exit assessment is one of the following:

- The last date on which assessment information was collected (e.g., date of the last visit during which ongoing assessment information was documented);
- If completed within the 6 months prior to the child's discharge and it reflects the most up-to-date assessment information available, then the date of the most recent IFSP in which the child outcome ratings were documented; or
- If completed within the 6 months prior to the child's discharge and they reflect the most up-to-date assessment information available and they are available to the local early intervention system by the date of the child's discharge, the date that child outcome entry ratings were determined by the local school division.

Provide additional information about this indicator (optional)

In addition to improvement activities focused on data quality (as described in the Explanation section of A2), Virginia also implemented a number of program improvement activities in FFY 2016 aimed at improving outcomes for children. Many of these program improvement activities are detailed in Virginia's State Systemic Improvement Plan (SSIP), which is described and included in Indicator 11. While the SSIP is focused on improving results on Indicator 3c, the improvement strategies, activities and steps are expected to have a positive impact on all child outcomes. SSIP and other activities completed in FFY 2016 and early FFY 2017 include the following:

- Statewide webinars and web discussions addressed topics related to quality early intervention practices: EI services in child care

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

settings, using augmentative and alternative communication in EI, unpacking our biases in EI, cultural competence, preventing challenging behavior in the home setting, visual behaviors and red flags.

- State Lead Agency and State Professional Development staff continued to support local system managers and providers in using evidence-based practices (specifically, coaching and natural learning environment practices) in all interactions with families. For example, local systems were offered the opportunity to participate in a 6-month project supporting local use of the *Coaching Facilitation Guide* with local staff and providers. In addition, Virginia began statewide implementation of a fidelity assessment process for coaching on October 1, 2017 and introduced an orientation schedule and checklist to ensure new providers are supported in using evidence-based coaching and natural learning environment practices.
- Infant & Toddler Connection of Virginia professional development staff continue to support a blog on early intervention strategies for success as a mechanism for discussions about implementing evidence-based early intervention supports and services.
- Revisions to the required statewide training for service coordinators and certification modules required for all early intervention providers were released and implemented. The revisions emphasize evidence-based practices in assessment, service planning, service delivery and family engagement.
- Two statewide meetings of local system managers and the state early childhood conference provided sessions addressing family assessment, infant mental health, substance-exposed infants, fidelity assessment, coaching, functional assessment and other topics that support program improvement.
- A toolkit for building inter-rater reliability on the child outcome ratings was developed and released for local system use.
- A series of articles in the monthly Update, which is disseminated to all local system managers, providers and service coordinators, focused on how and why culture matters in assessment, child outcome ratings and service planning.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 3: Early Childhood Outcomes

Required Actions from FFY 2015

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement
Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A	2011	Target ≥				66.20%	66.90%	67.70%	70.50%	71.50%	76.40%	76.40%	76.40%
		Data			67.20%	66.10%	70.80%	69.50%	70.10%	72.30%	76.87%	76.44%	75.59%
B	2011	Target ≥				62.20%	62.70%	63.50%	67.80%	68.80%	73.20%	73.20%	74.40%
		Data			64.70%	62.30%	67.30%	66.80%	67.60%	70.30%	74.53%	74.39%	72.10%
C	2011	Target ≥				78.00%	78.60%	79.50%	80.60%	81.30%	84.90%	84.90%	84.90%
		Data			77.50%	77.50%	80.60%	80.30%	80.00%	81.90%	84.83%	83.87%	85.44%

	FFY	2015
A	Target ≥	76.40%
	Data	77.47%
B	Target ≥	74.40%
	Data	74.57%
C	Target ≥	84.90%
	Data	85.70%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target A ≥	76.40%	76.40%	80.00%
Target B ≥	74.40%	74.40%	77.00%
Target C ≥	84.90%	84.90%	88.00%

Key: Blue – Data Update

Targets: Description of Stakeholder Input

The Virginia Interagency Coordinating Council (VICC) served as the primary stakeholder group providing advice and assistance to the State Lead Agency in the development of the State Performance Plan/Annual Performance Report (SPP/APR). During a VICC meeting on December 13, 2017, VICC members reviewed FFY 2016 data on each indicator. The VICC approved maintaining the targets for FFY 2017 - FFY 2018 for Indicator 4. In addition, a draft of the SPP/APR was widely available in December 2017 for stakeholders to review and submit written input.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement
FFY 2016 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2016 SPP/APR Data

Number of families to whom surveys were distributed		8670.00
Number of respondent families participating in Part C	21.05%	1825.00
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights		856.00
A2. Number of responses to the question of whether early intervention services have helped the family know their rights		1076.00
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs		814.00
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs		1076.00
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn		954.00
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn		1076.00

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	77.47%	76.40%	79.55%	Met Target	No Slippage
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	74.57%	74.40%	75.65%	Met Target	No Slippage
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	85.70%	84.90%	88.66%	Met Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? No

Include the State's analysis of the extent to which the demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program.

Virginia's family survey uses the Impact on Families Scale developed and validated by the National Center for Special Education Accountability Monitoring (NCSEAM). Surveys were mailed to all families receiving early intervention supports and services on December 1, 2016. Surveys were returned (via mail, online submission or phone) by 1,825 families receiving early intervention services. This represents a response rate of 21%. From the 1,825 responses to the FFY 2016 family survey, a random sample of 1,076 families reflecting the distribution of race/ethnicity in the population of families served under Part C in Virginia was selected for data analysis. Although not selected specifically for gender, the representative sample was also representative of the gender of children receiving services under Part C in Virginia.

Distribution of Child's Race/Ethnicity in the Representative Sample

Gender	N	Percentage
White	578	53.7%
Black or African-American	206	19.1%
Hispanic or Latino	125	11.6%
Asian	55	5.1%
American Indian or Alaskan Native	1	0.1%
Pacific Islander or Hawaiian Native	1	0.1%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Two or more races	110	10.2%
Total	1076	100.0%

Note. The distribution of race/ethnicity for the children receiving early intervention services in Virginia under Part C are: White = 53.7%, Black/African American = 19.1%, Hispanic or Latino = 11.6%, Asian = 5.1%, American Indian or Alaskan Native = 0.1%, Pacific Islander or Native Hawaiian = 0.1%, Two or More Races = 10.2%.

Distribution of Child's Gender in the Representative Sample

Gender	N	Percentage
Male	663	61.6%
Female	400	37.2%
Missing	13	1.2%
Total	1076	100%

Note: The distribution of gender for the children receiving early intervention services in Virginia is: Male = 62.3%; Female = 37.6%; Missing = 0.2%

The sample of 1,076 families exceeds the minimum number required for an adequate confidence level based on established survey sample guidelines, providing a high degree of confidence that the results of the survey accurately reflect the degree to which families have achieved the outcomes in Indicator 4.

The data gathered were analyzed according to specifications identified by NCSEAM in order to determine the actual target data. Of the 1,076 respondents included in the representative sample, all had valid responses to the Impact on Families Scale of the survey. In order to report the *percent* of families who indicate that early intervention services helped them achieve the specific outcomes (a, b, c) in Indicator 4, it is necessary to establish a standard for each of the outcomes. The standard is set at a score that provides a high degree of confidence that if a family's score is at or above that standard for a given outcome, then the family has achieved the outcome. Virginia applies the Part C standards recommended by a nationally representative stakeholder group convened by NCSEAM. These standards generally require that the family strongly or very strongly agree with survey items.

The demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program. No

Provide additional information about this indicator (optional)

In addition to Virginia's performance on the family outcomes that comprise Indicator 4, other sources of data support Virginia's effective use of evidence-based practices resulting in positive experiences and outcomes for families as a result of participating in early intervention:

Responses to four Virginia-specific questions on the annual family survey indicate that 94% of families agree, strongly agree or very strongly agree that the early intervention services provided to their child and family helped reach the outcomes/goals that are important to their family; 94%, that they could easily get in touch with their service coordinator; 95%, that what they say about their child and family is understood and respected; and 95%, that the people who work with their child and family answer their questions.

Phone surveys completed with families as part of Medicaid Quality Management Reviews indicate 100% of families report their child improved as a result of early intervention, 100% report they were shown how to help their child's learning and development, and 95% were very satisfied with their early intervention services.

Virginia implemented a number of activities in FFY 2016 and early FFY 2017 to support continued improvement in family outcomes. These included, but were not limited to, the following:

The complete analysis of the FFY 2016 family survey data and a summary version of the analysis were posted to the Infant & Toddler Connection of Virginia website and discussed during statewide and regional meetings of local system managers.

Local systems were provided with local response data for each item on the FFY 2016 family survey, as well as local response rate data. Technical Assistance Consultants and Monitoring Consultants supported local system managers in analyzing, interpreting and using local survey results, together with other data and resources, to identify local improvement strategies.

The ARC of Virginia continues to administer New Path, a support network for families in early intervention that is designed to help families navigate the early intervention system. A monthly newsletter and blog provide resources and information for families.

A 1-page information sheet on statewide child and family outcome results was developed by state staff and disseminated to families through the ARC of Virginia and local systems and posted to the Information for Families section of the Infant & Toddler Connection of Virginia website. This information sheet is updated and released annually.

A family information sheet was developed to explain what to expect during an early intervention visit.

Families remained engaged with the Department of Behavioral Health and Developmental Services in developing and implementing Virginia's State Systemic Improvement Plan (SSIP). Families have participated through the Virginia Interagency Coordinating Council (VICC), state leadership teams, stakeholder groups, and webinars. Through this participation, families have helped ensure the SSIP includes steps and activities that will support positive family outcomes in addition to improved performance on the targeted child outcome. Please see Indicator 11 for more information.

Statewide webinars and web discussions addressed topics related to quality early intervention practices: EI services in child care

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

settings, using augmentative and alternative communication in EI, unpacking our biases in EI, cultural competence, preventing challenging behavior in the home setting, visual behaviors and red flags.

State Lead Agency and State Professional Development staff continued to support local system managers and providers in using evidence-based practices (specifically, coaching and natural learning environment practices) in all interactions with families. For example, local systems were offered the opportunity to participate in a 6-month project supporting local use of the Coaching Facilitation Guide with local staff and providers. In addition, Virginia began statewide implementation of a fidelity assessment process for coaching on October 1, 2017 and introduced an orientation schedule and checklist to ensure new providers are supported in using evidence-based coaching and natural learning environment practices.

Infant & Toddler Connection of Virginia professional development staff continue to support a blog on early intervention strategies for success as a mechanism for discussions about implementing evidence-based early intervention supports and services.

Revisions to the required statewide training for service coordinators and certification modules required for all early intervention providers were released and implemented. The revisions emphasize evidence-based practices in assessment, service planning, service delivery and family engagement.

Two statewide meetings of local system managers and the state early childhood conference provided sessions addressing family assessment, infant mental health, substance-exposed infants, fidelity assessment, coaching, functional assessment and other topics that support program improvement.

A series of articles in the monthly Update, which is disseminated to all local system managers, providers and service coordinators, focused on how and why culture matters in assessment, child outcomes ratings and service planning.

In order to increase the response rate on the family survey and ensure all families have an opportunity to provide input, Virginia is translating the survey into 6 additional languages for FFY 2017.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement
Required Actions from FFY 2015

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 5: Child Find (Birth to One)

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			0.65%	0.70%	0.77%	0.87%	1.00%	1.00%	1.03%	1.03%	1.20%
Data		0.51%	0.53%	0.70%	0.67%	0.59%	0.93%	1.02%	0.97%	1.20%	1.05%

FFY	2015
Target ≥	1.20%
Data	1.47%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	1.20%	1.20%	1.26%

Key: Blue – Data Update

Targets: Description of Stakeholder Input

The Virginia Interagency Coordinating Council (VICC) served as the primary stakeholder group providing advice and assistance to the State Lead Agency in the development of the State Performance Plan/Annual Performance Report (SPP/APR). During a VICC meeting on December 13, 2017, VICC members reviewed FFY 2016 data on each indicator and approved maintaining the targets for FFY 2017 - FFY 2018 for Indicator 5. Although Virginia exceeded the FFY 2018 target for a second year, the percentage of the birth - one population served in early intervention did decrease from FFY 2015 to FFY 2016. Because of this continued fluctuation and the short time left in this SPP/APR cycle, revised targets will be considered after FFY 2018.

In addition, a draft of the SPP/APR was widely available in December 2017 for stakeholders to review and submit written input.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 5: Child Find (Birth to One)
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers birth to 1 with IFSPs	1,401	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2016	6/22/2017	Population of infants and toddlers birth to 1	109,130	101,443
TBD			null	

Explanation of Alternate Data

The alternate data is from the 2016 Part C Child Count Static Table 2017 posted in the GRADS 360 public domain clearinghouse. The census data listed in the static table matches the Juvenile Justice data found at www.ojjdp.gov.

FFY 2016 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
1,401	101,443	1.47%	1.20%	1.38%	Met Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Compare your results to the national data

Virginia exceeded the FFY 2016 national average of 1.24%.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 5: Child Find (Birth to One)
Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)
Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			2.05%	2.20%	2.10%	2.30%	2.60%	2.60%	2.88%	2.88%	2.76%
Data		1.72%	1.78%	1.92%	1.99%	1.95%	2.43%	2.77%	2.72%	2.76%	2.87%

FFY	2015
Target ≥	2.76%
Data	2.97%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	2.76%	2.89% 2.76%	2.89%

Key: Blue – Data Update

Explanation of Changes

When setting the targets for the 6-year SPP/APR cycle, Virginia determined the final year, FFY 2018, target based on the percentage (2.89%) that would be necessary to achieve a statistically significant increase over the starting percentage (2.76%). Since it is difficult to predict how quickly increases will occur, the interim year targets were set at the starting percentage of 2.76%.

Virginia's actual FFY 2015 and FFY 2016 data for Indicator 6 exceeded the FFY 2018 target. For that reason, Virginia is raising the interim year target for FFY 2017 to 2.89%. The percentage of Virginia's birth to three population served in Part C has increased steadily over the past four years, and increasing the FFY 2017 target indicates the Commonwealth's commitment to now maintaining the statistically significant increase in performance through the remainder of this SPP/APR cycle. Further increases in targets will be considered after FFY 2018 based on actual results in FFY 2017 and FFY 2018.

Targets: Description of Stakeholder Input

The Virginia Interagency Coordinating Council (VICC) served as the primary stakeholder group providing advice and assistance to the State Lead Agency in the development of the State Performance Plan/Annual Performance Report (SPP/APR). During a VICC meeting on December 13, 2017, VICC members reviewed FFY 2016 data on each indicator and considered the targets for FFY 2017 - FFY 2018 for Indicator 6, revising the FFY 2017 target as described above.

In addition, a draft of the SPP/APR was widely available in December 2017 for stakeholders to review and submit written input.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers birth to 3 with IFSPs	9,732	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2016	6/22/2017	Population of infants and toddlers birth to 3	328,943	305646
TBD			null	

Explanation of Alternate Data

The alternate data is from the 2016 Part C Child Count Static Table 2017 posted in the GRADS 360 public domain clearinghouse. The census data listed in the static table matches the Juvenile Justice data found at www.ojjdp.gov.

FFY 2016 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
9,732	305,646	2.97%	2.76%	3.18%	Met Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Compare your results to the national data

Virginia exceeded the FFY 2016 national average of 3.12%.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)
Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 7: 45-day timeline

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		98.00%	96.00%	98.00%	98.00%	99.00%	97.00%	98.00%	98.00%	91.83%	98.99%

FFY	2015
Target	100%
Data	99.56%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 7: 45-day timeline

FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2016 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
1,719	2,185	99.56%	100%	99.91%	Did Not Meet Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

<p>Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline" field above to calculate the numerator for this indicator.</i></p>	464
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data reflects all children referred from October 1, 2016 through December 31, 2016 and evaluated and assessed and for whom an IFSP meeting was required to be conducted

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Although the data collected for FFY 2016 were from the second quarter of the fiscal year, Virginia has determined that these data accurately reflect data for infants and toddlers with IFSPs for the full fiscal year based on the following:

- The Commonwealth's compliance percentage was similar each year from FFY 2008 through FFY 2012 (97% - 99%) when data were collected in the second quarter of the fiscal year as they were in FFY 2007 (98%) when data were from the fourth quarter. There appears to be no difference in performance at different times of the year.
- The data collected in FFY 2016 included all children who were referred in the given quarter who were evaluated and assessed and for whom an initial IFSP meeting was required to be conducted. Therefore, the data is representative of all local systems and of the population of children served in Virginia's Part C system in terms of race/ethnicity, gender, age and reason for eligibility.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 7: 45-day timeline

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 7: 45-day timeline**

Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
5	5	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

In order to verify that the local system is correctly implementing the regulatory requirements for Indicator 7, the State Lead Agency reviewed data from ITOTS, the state Part C data system, for either 3 or 5 (depending on the size of the local system) state-selected, eligible infants and toddlers who were referred to the given local system during a recent 1-month period, and for whom an initial IFSP meeting was required to be conducted, to determine whether the initial evaluation, assessment and IFSP meeting were held within the required 45-day timeline. To confirm the accuracy of the ITOTS data used for verification of correction, local systems were required to submit (or state staff view on site) the documentation from the records of these children showing completion of the initial evaluation, assessment and IFSP meeting and documenting the mitigating circumstances if the timeline was exceeded.

The State Lead Agency has verified that, based on updated data, all EIS programs with noncompliance identified in FFY 2015 and reported by Virginia under this indicator in the FFY 2015 APR are correctly implementing the specific regulatory requirements, consistent with OSEP Memorandum 09-02, dated October 17, 2008.

Describe how the State verified that each individual case of noncompliance was corrected

For each local system with a finding of noncompliance on Indicator 7, state Part C staff used ITOTS to verify that for each instance of noncompliance involved in the FFY 2015 finding, the child did have an initial evaluation, assessment and IFSP meeting, though late. The State Lead Agency has verified that each EIS program with noncompliance identified in FFY 2015 and reported by Virginia under this indicator in the FFY 2015 APR has held an initial evaluation, assessment and IFSP meeting for each child, although late, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008.

Correction of Findings of Noncompliance Identified Prior to FFY 2015

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2015 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 8: Early Childhood Transition

FFY 2016 Data: All Indicator 8 Sections

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2016 SPP/APR Data

Number of toddlers with disabilities exiting Part C	8,634
Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	4,303

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8A: Early Childhood Transition
Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		86.00%	96.00%	99.00%	98.00%	88.00%	93.00%	98.00%	99.40%	99.60%	98.23%

FFY	2015
Target	100%
Data	84.90%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8A: Early Childhood Transition
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2016 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	12/14/2017	Number of toddlers with disabilities exiting Part C	8,634	513

Explanation of Alternate Data

Data for Indicator 8A are based on state monitoring through local record reviews. The number of records reviewed and the process for selecting local records for review are described in the section below on methods used to select EIS programs for monitoring. The total number of children in the record review was 513, and all were potentially eligible for Part B.

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.

- Yes
- No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
360	513	84.90%	100%	82.85%	Did Not Meet Target	Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of children exiting Part C who have an IFSP with transition steps and services" field to calculate the numerator for this indicator.</i>	65
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Reasons for Slippage

In depth data verification on the three transition indicators in FFY 2015 identified some local system misunderstandings related to transition requirements and record review instructions as well as the need for more routine oversight at the local level. Given the FFY 2015 results, all local systems were required to submit documentation related to transition and state staff conducted the FFY 2016 record review for all three transition indicators.

Instances of noncompliance on Indicator 8A in FFY 2016 were the result of three factors, which varied across local systems: (1) incomplete transition plans, (2) issues with timeliness in developing those plans and (3) failure to meet IFSP meeting requirements when holding the meeting to develop the transition plan. A total of 24 local systems had some level of noncompliance with Indicator 8A in FFY 2016, and 7 of those local systems accounted for 61% of the statewide noncompliance.

In early FFY 2016, upon identifying the extent of noncompliance related to Indicator 8A in FFY 2015, the State Lead Agency took the following actions to support timely local correction of noncompliance and improved transition planning for children and families:

- Partnered with the Virginia Department of Education to (1) present a 2-part statewide webinar series for local system managers, service coordinators, and Part B staff to review the federal and state transition requirements and discuss strategies for local collaboration and communication to effectively implement those requirements, and (2) present to local school system preschool special education leaders at a statewide meeting on the federal and state transition requirements and strategies for local collaboration and communication to effectively implement those requirements.
- Reviewed transition requirements with all local system managers at regional meetings.
- Met individually with local system managers in those local systems with the most extensive noncompliance and those not able to immediately correct noncompliance, assisting them to develop and implement improvement strategies.
- Provided training on transition requirements to individual local systems in order to address local needs and issues.
- Published a transition "refresher" in the monthly Update that is sent to all local system managers and providers. This article included a tip sheet of reminders about each of the transition requirements monitored through the SPP/APR, specifying the requirement itself, purpose, timelines and documentation. The tip sheet also reminded local system managers of their responsibilities related to ongoing monitoring and oversight of local compliance with the transition requirements.

Because the records selected for the FFY 2016 annual record review were for those children who exited early intervention from August 1, 2016 to December 31, 2016 many of the early FFY 2016 activities listed above were still underway and changes in local practice were not completed in time to impact the FFY 2016 record review results. Given the reasons for noncompliance identified during the FFY 2016 record review, the State Lead Agency has continued to provide technical assistance, new resources and ongoing support to ensure correction of that noncompliance. Additional efforts in FFY 2016 and early FFY 2017 included the following:

- Continued review of transition requirements at regional meetings and individual work with local system managers in those local systems with the most extensive noncompliance and those not able to correct quickly;
- Provided additional training in some local systems;

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

- Developed 3 online transition tutorials that focus specifically on the transition plan;
- Collaborated with the Virginia Department of Education to present a session on transition requirements during the statewide early childhood conference;
- Released a revised transition question and answer document in conjunction with the Virginia Department of Education; and
- Published two blog posts related to transition requirements on Virginia's Early Intervention Strategies for Success blog.

As of August, 2017, the state lead agency had verified that 20 of the 24 local systems have corrected their FFY 2016 noncompliance. Correction of the remaining findings of noncompliance will be verified through the FFY 2017 annual local record review process.

What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Data for FFY 2016 is based on monitoring data from all 40 local systems in Virginia and was gathered through record reviews. The State Lead Agency randomly selected the children whose records were to be reviewed for each local system from those children who exited early intervention between August 1, 2016 and December 31, 2016. The number of records to be reviewed was based on the number of children in the local system who exited early intervention between August 1, 2016 and December 31, 2016 with a transition destination of public school/Part B eligible.

- 0-9 children exited, reviewed all records
- 10-20 children exited, reviewed 10 records
- 21-100 children exited, reviewed 15 records
- 101-300 children exited, reviewed 20 records
- Over 300 children exited, reviewed 30 records

 Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 8A: Early Childhood Transition

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8A: Early Childhood Transition
Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
19	18	0	1

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

In order to verify correction of noncompliance on Indicators 8A, 8B and 8C, the State Lead Agency selected a random sample of either 3 or 5 records (depending on the size of the local system) of children who had recently transitioned out of early intervention, and the local system submitted the documentation from those records showing the transition steps and services, notification and/or transition conference and the reasons for any deviation from the required timeline for the given transition activity. State staff members reviewed the documentation in order to verify that the local system is now correctly implementing the transition requirement.

The State Lead Agency has verified that based on updated data, 18 of the 19 EIS programs with noncompliance identified in FFY 2015 and reported by Virginia under this indicator in the FFY 2015 APR are correctly implementing the specific regulatory requirements, consistent with OSEP Memorandum 09-02, dated October 17, 2008.

Describe how the State verified that each individual case of noncompliance was corrected

For each local system with a finding of noncompliance on Indicator 8A, state Part C staff used record review data to verify that for each instance of noncompliance involved in a FFY 2015 finding, the child had transition steps and services added to the IFSP, though late, unless the child had already transitioned out of the Part C early intervention system by the time the noncompliance was identified. The State Lead Agency has verified that each EIS program with noncompliance identified in FFY 2015 and reported by Virginia in the FFY 2015 APR has added transition steps and services in the IFSP for each child, although late, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008.

FFY 2015 Findings Not Yet Verified as Corrected

Actions taken if noncompliance not corrected

For the one local system with noncompliance not yet corrected, noncompliance with Indicator 8A was primarily due to either incomplete transition plans or failure to hold the required meeting to develop a transition plan. There were misunderstandings within the local system about the transition plan requirements and insufficient local oversight to ensure these requirements were being met. The following enforcement actions have been taken (and continue to be used) by the State Lead Agency to support the local system in making the changes necessary to correct the noncompliance:

- Required development of an improvement plan to document and address local barriers to compliance as well as strategies to achieve and maintain compliance;
- Required the local system to complete structured and supervised local monitoring in order to identify ongoing issues and establish a more consistent and robust process for local oversight of transition requirements. Structured and supervised local monitoring requires the local system to review the transition section of the IFSP (and any related documentation) for all children each month using the annual record review form and to report findings to their Technical Assistance and Monitoring Consultants at the State Lead Agency;
- Provided targeted technical assistance on transition, including on-site staff training and use of new state-developed transition tutorials;
- Conducted focused monitoring visits to coach the local system manager and service coordinator supervisor on how to complete structured and supervised local monitoring as well as to review compliance and technical assistance guidelines for the Annual Record Review (ARR);
- Held monthly meetings between the local system manager and the state Part C Technical Assistance/Monitoring staff to discuss progress on goals identified in the improvement plan;
- Required a meeting between the local lead agency administration and the State Part C Administrator, Technical Assistance and Monitoring Consultants to identify ongoing barriers and develop a plan to address the barriers; and
- Linked this local system to another local system in Virginia that demonstrates promising practices in transition.

Based on the extensive work with this local system and recent information from local monitoring, there has been progress and the State Lead Agency anticipates verifying correction of noncompliance within the next few months.

Correction of Findings of Noncompliance Identified Prior to FFY 2015

Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2015 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None		

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition
Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		89.00%	93.00%	100%	99.70%	100%	99.00%	99.80%	99.80%	89.27%	91.34%

FFY	2015
Target	100%
Data	92.48%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2016 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	12/14/2017	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	4,303	513

Explanation of Alternate Data

Data for Indicator 8B are based on state monitoring through local record reviews. The number of records reviewed and the process for selecting local records for review are described in the section below on methods used to select EIS programs for monitoring. The total number of children in the record review was 513, and all were potentially eligible for Part B.

Data include notification to both the SEA and LEA

- Yes
- No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
463	513	92.48%	100%	93.16%	Did Not Meet Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Number of parents who opted out This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.	16
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Describe the method used to collect these data

Data for FFY 2016 is based on monitoring data from all 40 local Part C systems in Virginia and was gathered through local record reviews. The number of records reviewed and the process for selecting local records for review are described below in the section on methods used to select EIS programs for monitoring.

Do you have a written opt-out policy? Yes

Is the policy on file with the Department? Yes

What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Data for FFY 2016 is based on monitoring data from all 40 local systems in Virginia and was gathered through local record reviews. The State Lead Agency randomly selected the children whose records were to be reviewed by the local system from those children who exited early intervention between August 1, 2016 and December 31, 2016. The number of records to be reviewed was based on the number of children in the local system who exited early intervention between August 1, 2016 and December 31, 2016 with a transition destination of public school/Part B eligible:

- 0-9 children exited, reviewed all records
- 10-20 children exited, reviewed 10 records
- 21-100 children exited, reviewed 15 records
- 101-300 children exited, reviewed 20 records
- Over 300 children exited, reviewed 30 records

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Provide additional information about this indicator (optional)

Virginia demonstrated continued improvement on Indicator 8B from FFY 2015 to FFY 2016 and continues to work to ensure transition notification is sent in a timely manner to both the local school division and the Virginia Department of Education. In almost all instances where the transition requirement was not fully met, there was timely notification to the local school division but notification to the Virginia Department of Education was either late or missing. Four (4) local systems accounted for most of the statewide noncompliance.

In depth data verification on the three transition indicators in FFY 2015 identified some local system misunderstandings related to transition requirements and record review instructions as well as the need for more routine oversight at the local level. Given the FFY 2015 results, all local systems were required to submit documentation related to transition and state staff conducted the FFY 2016 record review for all three transition indicators.

As of August, 2017, the State Lead Agency had verified that 15 of the 16 local systems have corrected their FFY 2016 noncompliance with Indicator 8B. Correction of the remaining findings of noncompliance will be verified through the annual local record review process.

The strategies described in Indicators 8A and 8C (e.g., webinars, question and answer document, regional meetings, support and training to individual local systems, etc.) to support improved compliance with transition requirements have also been used to address compliance with Indicator 8B.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 8B: Early Childhood Transition

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition
Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
14	14	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

In order to verify correction of noncompliance on Indicators 8A, 8B and 8C, the State Lead Agency selected a random sample of either 3 or 5 records (depending on the size of the local system) of children who had recently transitioned out of early intervention, and the local system submitted the documentation from those records showing the transition steps and services, notification and/or transition conference and the reasons for any deviation from the required timeline for the given transition activity. State staff members reviewed the documentation in order to verify that the local system is now correctly implementing the transition requirement.

The State Lead Agency has verified that based on updated data, all EIS programs with noncompliance identified in FFY 2015 and reported by Virginia under this indicator in the FFY 2015 APR are correctly implementing the specific regulatory requirements, consistent with OSEP Memorandum 09-02, dated October 17, 2008.

Describe how the State verified that each individual case of noncompliance was corrected

For each local system with a finding of noncompliance on Indicator 8B, state Part C staff used record review data to verify that for each instance of noncompliance involved in a FFY 2015 finding, transition notification occurred, though late, unless the child had already transitioned out of the Part C early intervention system by the time the noncompliance was identified. The State Lead Agency has verified that each EIS program with noncompliance identified in FFY 2015 and reported by Virginia in the FFY 2015 APR has completed the transition notification for each child, although late, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008.

Correction of Findings of Noncompliance Identified Prior to FFY 2015

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2015 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8C: Early Childhood Transition
Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		87.00%	95.00%	96.00%	96.00%	93.00%	93.00%	98.00%	98.00%	98.60%	97.90%

FFY	2015
Target	100%
Data	88.62%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 8C: Early Childhood Transition

FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2016 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	12/14/2017	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	4,303	513

Explanation of Alternate Data

Data for indicator 8C are based on state monitoring through local record reviews. The number of records reviewed and the process for selecting local records for review are described in the section below on methods used to select EIS programs for monitoring. The total number of children in the record review was 513, and all were potentially eligible for Part B.

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

- Yes
- No

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
167	513	88.62%	100%	79.01%	Did Not Meet Target	Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Number of toddlers for whom the parent did not provide approval for the transition conference <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	251
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B" field to calculate the numerator for this indicator.</i>	40

Reasons for Slippage

In depth data verification on the three transition indicators in FFY 2015 identified some local system misunderstandings related to transition requirements and record review instructions as well as the need for more routine oversight at the local level. Given the FFY 2015 results, all local systems were required to submit documentation related to transition and state staff conducted the FFY 2016 record review for all three transition indicators.

Instances of noncompliance on Indicator 8C in FFY 2016 were primarily for two reasons: (1) failure to hold the conference within required timelines and/or (2) failure to meet IFSP meeting requirements when holding the conference. A total of 18 local systems had some level of noncompliance with Indicator 8C in FFY 2016, though only 6 of those local systems accounted for 70% of the statewide noncompliance.

In early FFY 2016, upon identifying the extent of noncompliance related to Indicator 8C in FFY 2015, the State Lead Agency took the following actions to support timely local correction of noncompliance and improved transition support for children and families:

- Partnered with the Virginia Department of Education to (1) present a 2-part statewide webinar series for local system managers, service coordinators, and Part B staff to review the federal and state transition requirements and discuss strategies for local collaboration and communication to effectively implement those requirements, and (2) present to local school system preschool special education leaders at a statewide meeting on the federal and state transition requirements and strategies for local collaboration and communication to effectively implement those requirements.
- Reviewed transition requirements with all local system managers at regional meetings.
- Met individually with local system managers in those local systems with the most extensive noncompliance and those not able to immediately correct noncompliance, assisting them to develop and implement improvement strategies.
- Provided training on transition requirements to individual local systems in order to address local needs and issues.
- Published a transition "refresher" in the monthly Update that is sent to all local system managers and providers. This article included a tip sheet of reminders about each of the transition requirements monitored through the SPP/APR, specifying the requirement itself, purpose, timelines and documentation. The tip sheet also reminded local system managers of their responsibilities related to ongoing monitoring and oversight of local compliance with the transition requirements.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

underway and changes in local practice were not completed in time to impact the FFY 2016 record review results. Given the reasons for noncompliance identified during the FFY 2016 record review, the State Lead Agency has continued to provide technical assistance, new resources and ongoing support to ensure correction of that noncompliance. Additional efforts in FFY 2016 and early FFY 2017 included the following:

- Continued review of transition requirements at regional meetings and individual work with local system managers in those local systems with the most extensive noncompliance and those not able to correct quickly;
- Provided additional training in some local systems;
- Developed an online transition tutorial that focuses specifically on the transition conference;
- Collaborated with the Virginia Department of Education to present a session on transition requirements during the statewide early childhood conference;
- Released a revised transition question and answer document in conjunction with the Virginia Department of Education; and
- Published two blog posts related to transition requirements on Virginia's Early Intervention Strategies for Success blog.

As of August, 2017, the state lead agency had verified that 11 of the 18 local systems have corrected their FFY 2016 noncompliance. Correction of the remaining findings of noncompliance will be verified through the FFY 2017 annual local record review process.

What is the source of the data provided for this indicator?



State monitoring



State database

Describe the method used to select EIS programs for monitoring.

Data for FFY 2016 is based on monitoring data from all 40 local systems in Virginia and was gathered through local record reviews. The State Lead Agency randomly selected the children whose records were to be reviewed by the local system from those children who exited early intervention between August 1, 2016 and December 31, 2016. The number of records to be reviewed was based on the number of children in the local system who exited early intervention between August 1, 2016 and December 31, 2016 with a transition destination of public school/Part B eligible:

- 0-9 children exited, reviewed all records
- 10-20 children exited, reviewed 10 records
- 21-100 children exited, reviewed 15 records
- 101-300 children exited, reviewed 20 records
- Over 300 children exited, reviewed 30 records



Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 8C: Early Childhood Transition

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8C: Early Childhood Transition
Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
10	9	0	1

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

In order to verify correction of noncompliance on Indicators 8A, 8B and 8C, the State Lead Agency selected a random sample of either 3 or 5 records (depending on the size of the local system) of children who had recently transitioned out of early intervention, and the local system submitted the documentation from those records showing the transition steps and services, notification and/or transition conference and the reasons for any deviation from the required timeline for the given transition activity. State staff members reviewed the documentation in order to verify that the local system is now correctly implementing the transition requirement.

The State Lead Agency has verified that based on updated data, 9 of the 10 EIS programs with noncompliance identified in FFY 2015 and reported by Virginia under this indicator in the FFY 2015 APR are correctly implementing the specific regulatory requirements, consistent with OSEP Memorandum 09-02, dated October 17, 2008.

Describe how the State verified that each individual case of noncompliance was corrected

For each local system with a finding of noncompliance on Indicator 8C, state Part C staff used record review data to verify that for each instance of noncompliance involved in a FFY 2015 finding, the transition conference occurred, though late, unless the child had already transitioned out of the Part C early intervention system by the time the noncompliance was identified. The State Lead Agency has verified that each EIS program with noncompliance identified in FFY 2015 and reported by Virginia in the FFY 2015 APR held a transition conference for each child, although late, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008.

FFY 2015 Findings Not Yet Verified as Corrected

Actions taken if noncompliance not corrected

For the one local system with noncompliance not yet corrected, noncompliance with Indicator 8C was primarily due to either failure to meet the requirements of an IFSP meeting when holding a transition conference or no documentation indicating that a local school division representative was invited to or participated in the conference. There were misunderstandings within the local system about the transition conference requirements and insufficient local oversight to ensure these requirements were being met. This is the same local system with uncorrected noncompliance on Indicator 8A, and state efforts have focused on addressing both sets of transition requirements. As detailed in Indicator 8A, the following enforcement actions have been taken (and continue to be used) by the State Lead Agency to support this local system in making the changes necessary to correct the noncompliance:

- Required development of an improvement plan to document and address local barriers to compliance as well as strategies to achieve and maintain compliance;
- Required the local system to complete structured and supervised local monitoring in order to identify ongoing issues and establish a more consistent and robust process for local oversight of transition requirements. Structured and supervised local monitoring requires the local system to review the transition section of the IFSP (and any related documentation) for all children each month using the annual record review form and to report findings to their Technical Assistance and Monitoring Consultants at the State Lead Agency;
- Provided targeted technical assistance on transition, including on-site staff training and use of new state-developed transition tutorials;
- Conducted focused monitoring visits to coach the local system manager and service coordinator supervisor on how to complete structured and supervised local monitoring as well as to review compliance and technical assistance guidelines for the Annual Record Review (ARR);
- Held monthly meetings between the local system manager and the state Part C Technical Assistance/Monitoring staff to discuss progress on goals identified in the improvement plan;
- Required a meeting between the local lead agency administration and the State Part C Administrator, Technical Assistance and Monitoring Consultants to identify ongoing barriers and develop a plan to address the barriers; and
- Linked this local system to another local system in Virginia that demonstrates promising practices in transition.

Based on the extensive work with this local system and recent information from local monitoring, there has been progress and the State Lead Agency anticipates verifying correction of noncompliance within the next few months.

Correction of Findings of Noncompliance Identified Prior to FFY 2015

Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2015 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None		

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 9: Resolution Sessions

Historical Data and Targets

Explanation of why this indicator is not applicable

Virginia has not adopted Part B due process procedures.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures under section 615 of the IDEA are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data:

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data											

FFY	2015
Target ≥	
Data	

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥			

Key: Blue – Data Update

Targets: Description of Stakeholder Input

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 9: Resolution Sessions
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures under section 615 of the IDEA are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/1/2017	3.1(a) Number resolution sessions resolved through settlement agreements	0	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/1/2017	3.1 Number of resolution sessions	0	null

FFY 2016 SPP/APR Data

3.1(a) Number resolution sessions resolved through settlement agreements	3.1 Number of resolution sessions	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
0	0				Incomplete Data	n/a

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 9: Resolution Sessions

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures under section 615 of the IDEA are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 10: Mediation

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data						100%	100%		100%		100%

FFY	2015
Target ≥	
Data	

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥			

Key:  Blue – Data Update

Targets: Description of Stakeholder Input

Virginia has not reached the OSEP-identified threshold (10 mediations in a year) that requires targets to be set.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 10: Mediation

FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1.a.i Mediations agreements related to due process complaints	0	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1.b.i Mediations agreements not related to due process complaints	0	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1 Mediations held	0	null

FFY 2016 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
0	0	0				N/A	N/A

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 10: Mediation

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none